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## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER

230.027 CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/SE2004/001276 03 September 2004 03 September 2003 TITLE OF INVENTION **TOBACCO SMOKE FILTER** APPLICANT(S) FOR DO/EO/US BACKMAN, Carl-Gustaf Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording, A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Page 1 of 3 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# 1AP20 Rec'd PCT/PTO 0 3 MAR 2006

PTO-1390 (Rev. 07-2005)
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U.S. APPLICAT	「ION NO. (if known	, see 37 CFR 1.5)	INTERNATIONAL APP		ATTORNEY'S DOCKET NUMBER 230.027			
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20. Other items or information:								
The foll	owing fees have b	een submitted			CALCULATIONS	PTO USE ONLY		
21. X Basic national fee (37 CFR 1.492(a))					<sup>\$</sup> 300			
22. 🔀 Exam	nination fee (37 CF							
If the written opin	ion prepared by IS	SA/US or the interr	national preliminary examination	on report prepared	\$ 200			
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23. 🔀 Sear	rch fee (37 CFR 1.	492(b))						
If the written opin	ion of the ISA/US indicates all claim							
Search fee (37 C	FR 1.445(a)(2)) ha	\$ 500						
International Sea	rch Report prepar communicated to	the Office or						
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	TOTAL OF 21, 22	\$1,000						
□ sequence	e listing in complia	ince with 37 CFR 1	l in paper over 100 sheets (ex 1.821(c) or (e) or computer pro	cluding ogram listing in an				
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Total Sheets	Extra Sheets		additional 50 or fraction	RATE				
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- 100 =	/50 =			x \$250	\$ 0			
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					<b>\$</b> 0			
CLAIMS	CLAIMS NUMB		ER FILED NUMBER EXTRA		\$			
Total claims		20 - 20 =	0	x \$ 50	\$ O			
Independent claims 3		3 -3=	0	x \$200	\$ 0	, , , , , , , , , , , , , , , , , , , ,		
MULTIPLE DEPE	ENDENT CLAIM(S	(if applicable)	TOTAL OF ABOVE	+ \$360	\$ 1.000			
TOTAL OF ABOVE CALCULATIONS =  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					\$ 1,000			
<u> </u>				SUBTOTAL =	\$ 500			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest					\$			
claimed priority d	ate (37 CFR 1.492	2(i)).		+				
TOTAL NATIONAL FEE =				\$ 500				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$				
TOTAL FEES ENCLOSED =					\$ 500			
- <del></del>					Amount to be refunded:	\$		
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ь. 🗆	Please charge my Deposit Account NoA duplicate copy of this sheet is enclosed.	in the amount of \$	to cover the above fees.						
с. 🛛	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1170 A duplicate copy of this sheet is enclosed.								
d. 🗆	Fees are to be charged to a credit card. WARNINg be included on this form. Provide credit card info			rmation should no	ot				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND A	LL CORRESPONDENCE TO:	-	Jain J. Ste	• •					
			SIGNATURE						
		_	David D. Stein						
			NAME						
			40,828						
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Applicant: CARL-GUSTAF BACKMAN

#### **CERTIFICATE OF EXPRESS MAIL**

This is to certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL - POST OFFICE TO ADDRESSEE, in an envelope addressed to MAIL STOP PCT, Assistant Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 3, 2006. The Express Mail Label No. is EV843376688US.

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MPZUREE JEGGER 0 0 8 MAR 2006 PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Of:	)
CARL-GUSTAF BACKMAN	)
Filed Herewith	)
TOBACCO SMOKE FILTER	)

### SUPPLEMENT TO TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This application is being filed pursuant to 37 CFR 1.53(b) and (d). The application includes the specification, at least one claim, the drawings, and the filing fee. The inventor is Carl-Gustaf Backman of Blåvingegatan 49, SE-233 36 Svedala, Sweden, a Swedish citizen.

The oath or declaration of the applicant is not included. The declaration will be forwarded promptly upon notification from the U.S. Patent and Trademark Office.

This application is the U.S. National Phase of PCT/SE2004/001276, filed September 3, 2004, which claims priority from Swedish application SE 0302349-6 filed September 3, 2003 and US 60/481,324 filed September 3, 2003.

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Please address all telephone calls to:

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Respectfully submitted,

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Attorney Docket No: 230.027

Customer No.: 23598